



# NEW PROGRAM CREATION AND APPROVAL PRACTICES

ONCAT STUDENT PATHWAYS CONFERENCE 2015

JOANNE DUKLAS (PRIMARY INVESTIGATOR)

SACHA BURROWS (CONESTOGA), SERGE DEMERS (LAURENTIAN), GEORGE GRANGER  
(OUAC), GINA MARSHALL (CENTENNIAL)

# THE PROJECT

- Seeking to understand typical typologies, timelines, and approval frameworks for new program creation, approval, implementation, and launch
- Examining the full spectrum – across credentials, institutions, allied organizations, quality assurance bodies, and government
- What's involved? How long does it take?

# PROJECT SCOPE

- Focus on current practices
- Demystify the process and identify the expert guides
- Not seeking to identify improvements or best practices
- Members of ONCAT (not private colleges or graduate)
- Relevance to pathway development?
  - Joint program development

# RESEARCH APPROACH

- Interviews
- Foundational document reviews
- Assessment and validation

# PRIMARY FOCUS OF INTERVIEWS

- Colleges
- Universities
- Quality Assurance Bodies
- Government
- Allied organizations that support new program launch (e.g., OUAC, OCAS)

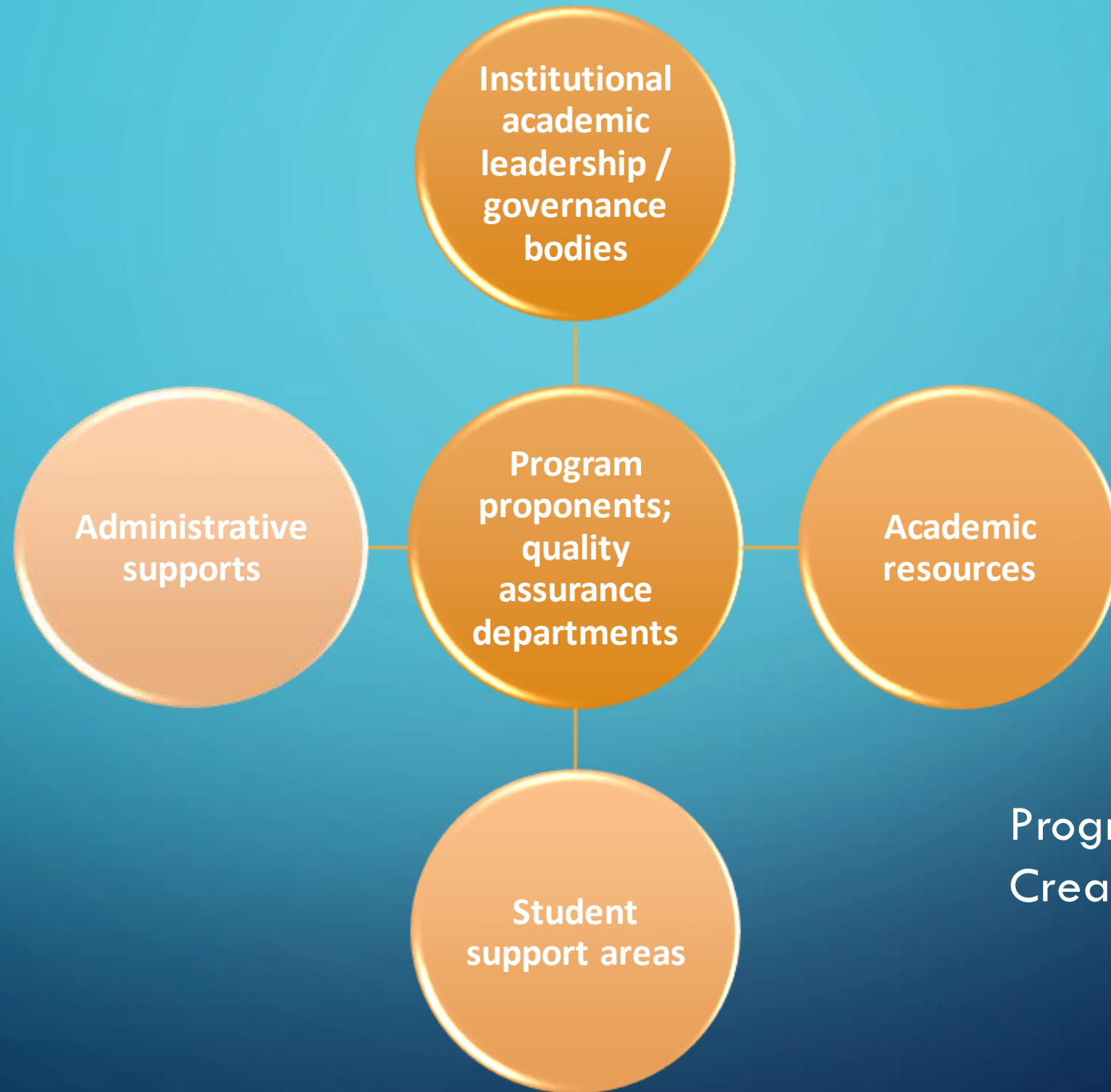
# PROJECT FOCUS: CREDENTIALS

- Ontario College Certificates
- Ontario College Diplomas
- Ontario College Advanced Diplomas
- Ontario College Graduate Certificate
- Baccalaureate
- Baccalaureate - Honours

The background is a blue gradient with abstract white lines in the corners that resemble circuit traces or a network diagram. These lines connect to small circles, some of which are larger than others. The lines are more prominent in the top-left and bottom-left corners, and less so in the top-right and bottom-right corners.

# HIGH LEVEL OVERVIEW

FROM START TO FINISH



**Program  
Creation**



# PROGRAM CREATION

- Discipline focus: core principle – college and university
- Industry engagement – colleges
- Environmental scans
- Decanal support

# Strategic Institutional Considerations

Curriculum, Teaching, Research  
and Related Resource Needs

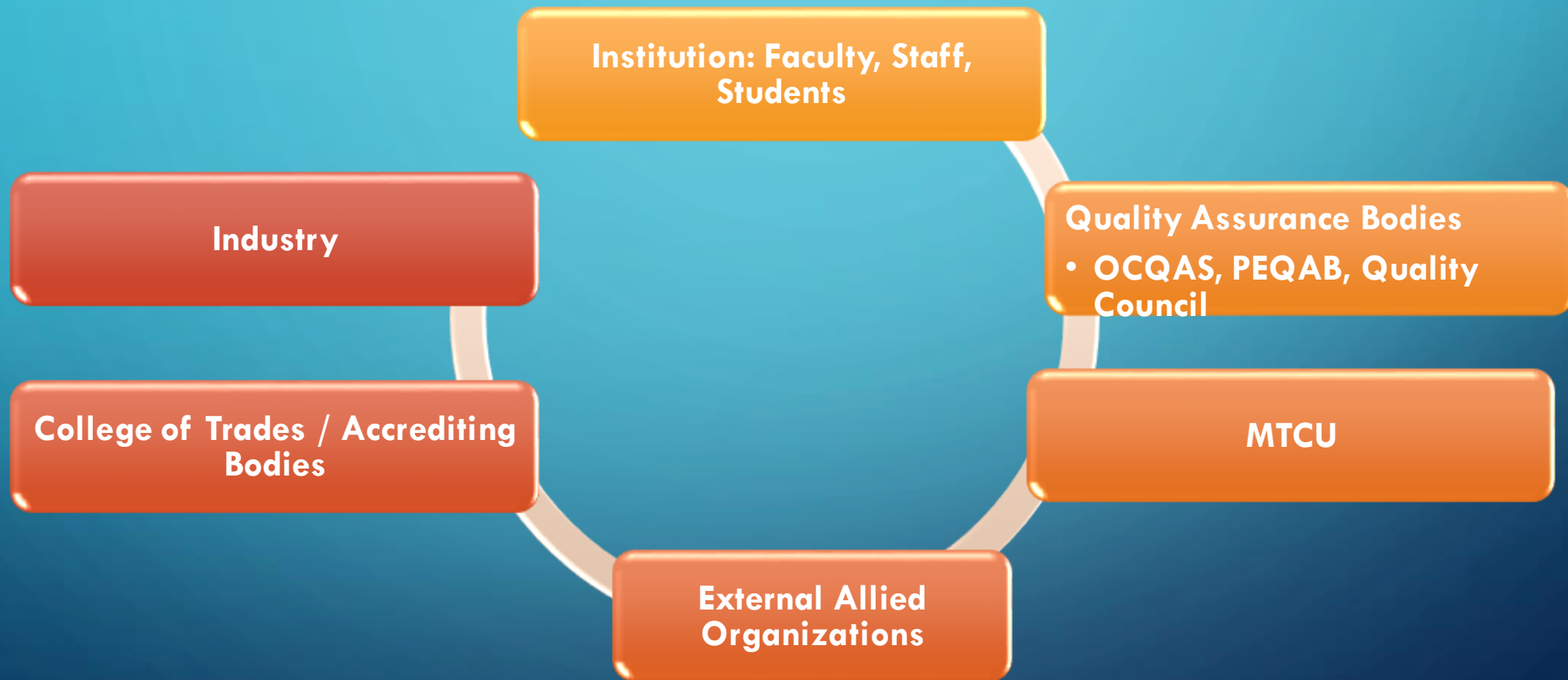
Marketing/  
Recruitment

Registrarial/  
Academic  
Support

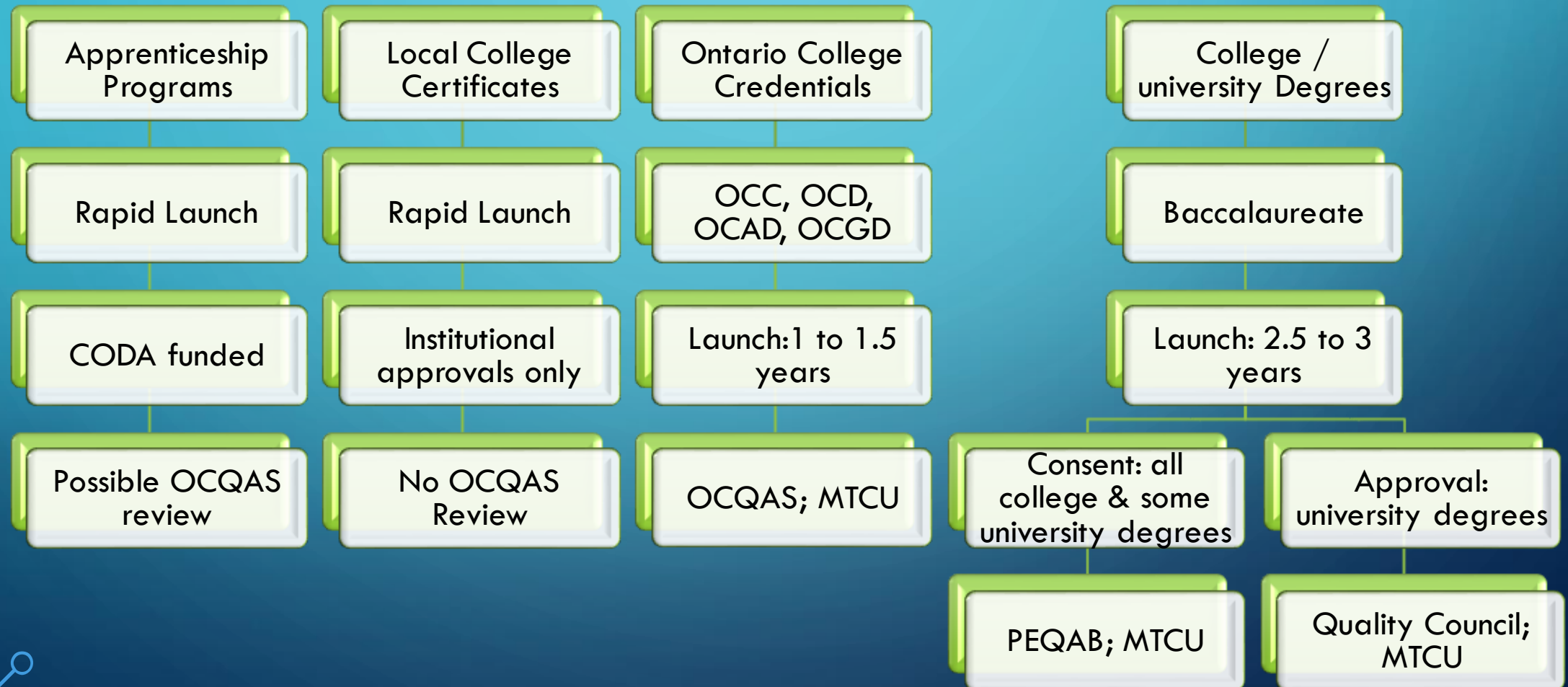
Student  
Retention/  
Student  
Success

Facilities,  
Staffing, etc.

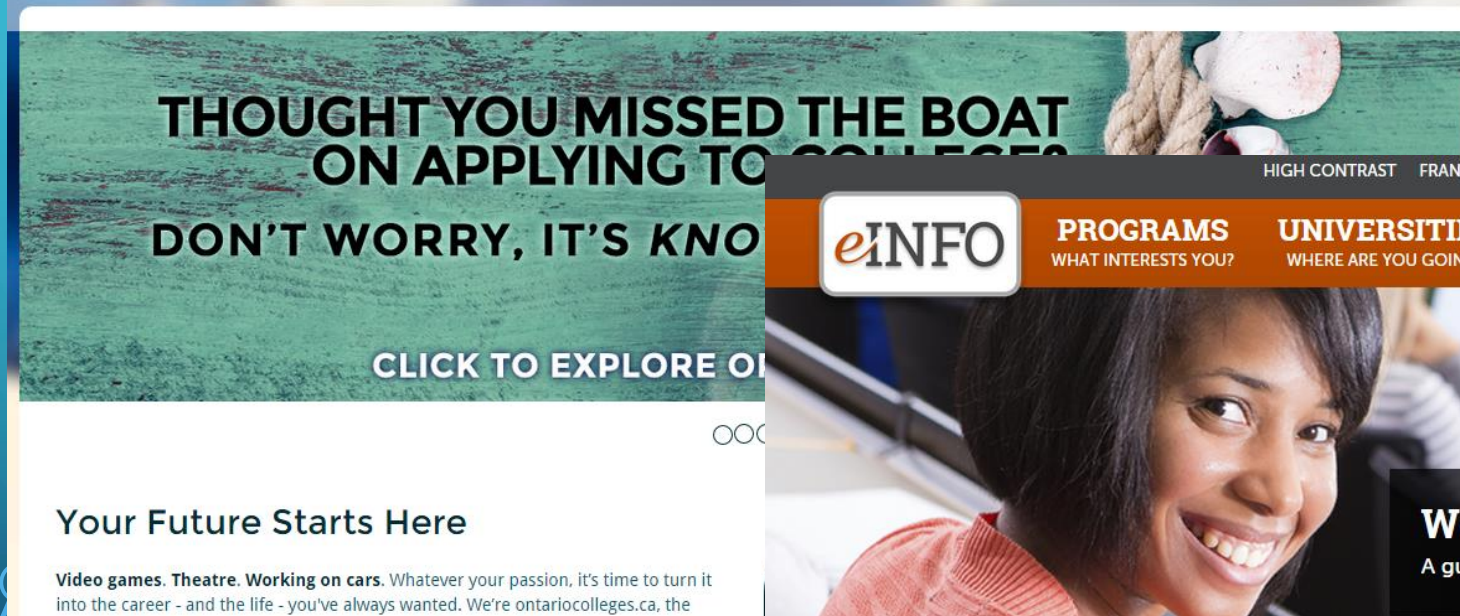
# POTENTIAL STAKEHOLDERS INVOLVED IN NEW PROGRAM CREATION, APPROVAL, AND LAUNCH



# TYPICAL TYPOLOGIES



# ADDITIONAL CONSIDERATIONS - LAUNCH





**June, July, August**

- Featuring new programs in marketing materials used for fall recruitment season

**By mid-August**

- Marketing materials to key influencers

**By end of September/October**

- Student recruitment fair presence

**Starting in November**

- Students apply for next September entry
- Institutions begin making offers

**By end of October**

- Programs set up at application centres
- Managing process; data

**Fall**

- Marketing to high school guidance counsellors, adult learning centres, ...etc.

**Early spring/summer  
(varies by sector, target audience, and school)**

- Students confirm offers for September entry

Typical  
Program  
Launch  
Timeline –  
George  
Granger

The background is a blue gradient. In the corners, there are white line-art illustrations of circuit boards or neural networks, with lines connecting to small circles.

# GOVERNMENT LEGISLATION, REGULATIONS AND BINDING POLICY DIRECTIVES

THE CONTEXT

# MTCU

- Oversight for programs requesting funding approval and OSAP eligibility and for those requiring consent
- Ministry of Training, Colleges and Universities Act
- Post-secondary Education Choice and Excellence Act, 2000 (PSECE Act)
  - Governs degree granting/right to operate as a university; PEQAB's mandate; right of colleges to offer degrees; PEQAB's role related to quality assurance for these credentials
- Ontario Colleges of Applied Arts and Technology Act, 2002 (OCAATA) – Ministerial mandate and reach over colleges
- Ontario Colleges of Trades and Apprenticeship Act, 2009
- Individual university statutes and Royal Charter (right to grant degrees, diplomas, certificates)



# REGULATIONS

- 279/02 – tied to the PSECE Act; speaks to consent

[http://www.e-laws.gov.on.ca/html/regs/english/elaws\\_regs\\_020279\\_e.htm](http://www.e-laws.gov.on.ca/html/regs/english/elaws_regs_020279_e.htm)

- 391/11 – as above (2011); addresses referral to quality assurance bodies, types of consent decisions, appeal process, etc.

[http://www.e-](http://www.e-laws.gov.on.ca/html/source/regs/english/2011/elaws_src_regs_r11391_e.htm)

[laws.gov.on.ca/html/source/regs/english/2011/elaws\\_src\\_regs\\_r11391\\_e.htm](http://www.e-laws.gov.on.ca/html/source/regs/english/2011/elaws_src_regs_r11391_e.htm)

# MTCU CAAT EXTRANET SITE

- For legislation, regulations, Minister's Binding Policy Directives, Operating Procedures): <http://caat.edu.gov.on.ca/>

**User name: caatsite Password: 900Mowat (case sensitive)**

- College Diploma and Certificate Program Standards -  
<http://www.tcu.gov.on.ca/pepg/audiences/colleges/progstan/intro.html>
- Degree Authority in Ontario - <http://www.tcu.gov.on.ca/pepg/programs/degreeauthority/>
- Minister's Binding Policy Directive (MBPD) Framework for Programs of Instruction  
[http://www.tcu.gov.on.ca/pepg/publications/PolicyFramework\\_PostSec.pdf](http://www.tcu.gov.on.ca/pepg/publications/PolicyFramework_PostSec.pdf)
- Minister's Binding Policy Directive (MBPD) Funding Approval of Programs of Instruction
- Minister's Operating Procedure Funding Approval of Programs of Instruction Procedures

# CONSIDERATION EXAMPLES

- Ontario's Differentiation Policy Framework for Postsecondary Education  
<http://www.tcu.gov.on.ca/pepg/publications/vision/>
- Signed Strategic Mandate Agreements (SMAs)
  - Universities - <http://www.tcu.gov.on.ca/pepg/publications/vision/universities.html>
  - Colleges - <http://www.tcu.gov.on.ca/pepg/publications/vision/colleges.html>

The background is a blue gradient with abstract white lines in the corners that resemble circuit traces or a network diagram. These lines connect to small circles, some of which are larger than others. The lines are more prominent in the top-left and bottom-left corners, and less so in the top-right and bottom-right corners.

# CREDENTIAL FRAMEWORKS

QUALITY ASSURANCE

# OVERARCHING NATIONAL CREDENTIALS FRAMEWORK

- Canadian Degree Qualifications Framework (pg. 2)

<http://www.cicic.ca/docs/cmec/QA-Statement-2007.en.pdf>

# ONTARIO QUALIFICATIONS FRAMEWORK

- Underpins all credentials

<http://www.tcu.gov.on.ca/pepg/programs/oqf/>

# ONTARIO QUALIFICATIONS FRAMEWORK

## Certificates 1 & 2

Certificate of Apprenticeship & Certificate of Qualification

– CODA Funded Programs

Certificate III

– Ontario College Certificate

Diploma 1 – Not included: Private College

Diploma 2

– Ontario College Diploma

Advanced Diploma

– Ontario College Advanced Diploma

Post-Diploma Certificate

– Ontario College Graduate Certificate

Baccalaureate/Bachelor's Degree

- University

Baccalaureate/Bachelor's Degree – Honours

– College and University

Master's Degree

Doctoral Degree

# CORE FRAMEWORKS

- For PEQAB – the Ontario Qualifications Framework PEQAB.CA
- For universities - Ontario Universities Council on Quality Assurance – Quality Assurance Framework OUCQA.CA

<http://oucqa.ca/resources-publications/quality-assurance-framework/>

<http://oucqa.ca/resources-publications/guide-to-quality-assurance-processes/>

- For college certificates and diplomas - Minister's Binding Policy Directive (MBPD) Framework for Programs of Instruction
  - – Credentials Framework (pg. 8) OCQAS.ORG

<http://www.accc.ca/wp-content/uploads/archive/es-ce/MTCUCollegeFramework.pdf>



# COLLEGE CERTIFICATES AND DIPLOMAS

OCQAS

# ONTARIO COLLEGE QUALITY ASSURANCE SERVICE (OCQAS)

- “[E]stablished to provide efficient tools that ensure specific quality and consistency standards are met by the Colleges of Applied Arts and Technol” (OCQAS. 2015)
- Achieves this through two primary services: the Credential Validation Service (CVS) which focuses on program level quality assurance and the Program Quality Assurance Process Audit (PQAPA) which focuses on institutional-level quality assurance (K. Belfer, OCQAS, 2014)

# CREDENTIAL VALIDATION SERVICE (CVS)

- Responsible for reviewing new and existing programs that result in one of the following credentials: Ontario College Certificate, Ontario College Diploma, Ontario College Advanced Diploma, and the Ontario College Graduate Certificate.
- OCQAS (through the CVS) is to “provide reasonable assurance that all postsecondary programs of instruction ....regardless of funding source, conform to the Credentials Framework and are consistent with accepted college system nomenclature/program titling principles” (MTCU, April 2005, pp. 3-4).

# MTCU EXPECTATIONS

- *Minister's Binding Policy Directive (MBPD) Framework for Programs of Instruction and the embedded Ontario Credentials Framework*
- MTCU: requires adherence to specific program standards (where applicable) when developing new program proposals, when delivering new programs, and when executing the program review process (MTCU, 2015b).
- “[P]rogram standards apply to all similar programs of instruction offered by colleges across the province” and established programs; at this time, these include the following elements: “vocationally specific learning outcomes...essential employability skills learning outcomes [and a]...general education requirement” (2015b).
- <http://www.tcu.gov.on.ca/pepg/audiences/colleges/progstan/index.html>

# CERTIFICATE AND DIPLOMA PROCESS



# MTCU COLLEGES UNIT, POSTSECONDARY ACCOUNTABILITIES BRANCH

- Consider: alignment with the SMAs, responsiveness to labour market demands, status of regulatory or accreditation approval
- Conduct: policy review, confirm adherence and alignment to Binding Policy Directives, and consider other government policy components.
- Funding review: examines total number of hours included in the program, what constitutes those hours, and how these map back to existing programs.
- Timeframe: depends very much on the completeness of the college proposal, the response time of the college to any question that may arise (technical or otherwise), and the submission and review of any additional requested information.

# CENTENNIAL COLLEGE EXAMPLE

- Gina Marshall, Director of Academic Quality, Centennial College of Applied Arts and Technology (“Centennial College”)



# EXPANDED ROLE FOR OCQAS

- Becoming a formal accreditation body
- Focus: “moving to an institutional-level accreditation process,” which will replace the PQAPA quality assurance audit (OCQAS, 2015d).
- OCQAS management board: approved *Accreditation Standards* many of which touch on program approval practices, demonstration of achieving expected learning outcomes, academic quality, student learning, and more (will fall under the *College Quality Assurance Audit Process - CQAAP*). ([http://ocqas.org/?page\\_id=9272](http://ocqas.org/?page_id=9272))
- <http://ocqas.org/wp-content/uploads/2015/01/CQAAP-Standards-2015-Revised-January-13-2015.pdf>



The background is a blue gradient with abstract white lines resembling circuit traces or data paths in the corners. These lines connect to small circles, some of which are larger than others. The lines are more dense in the top-left and bottom-left corners, and more sparse in the top-right and bottom-right corners.

# COLLEGE DEGREES

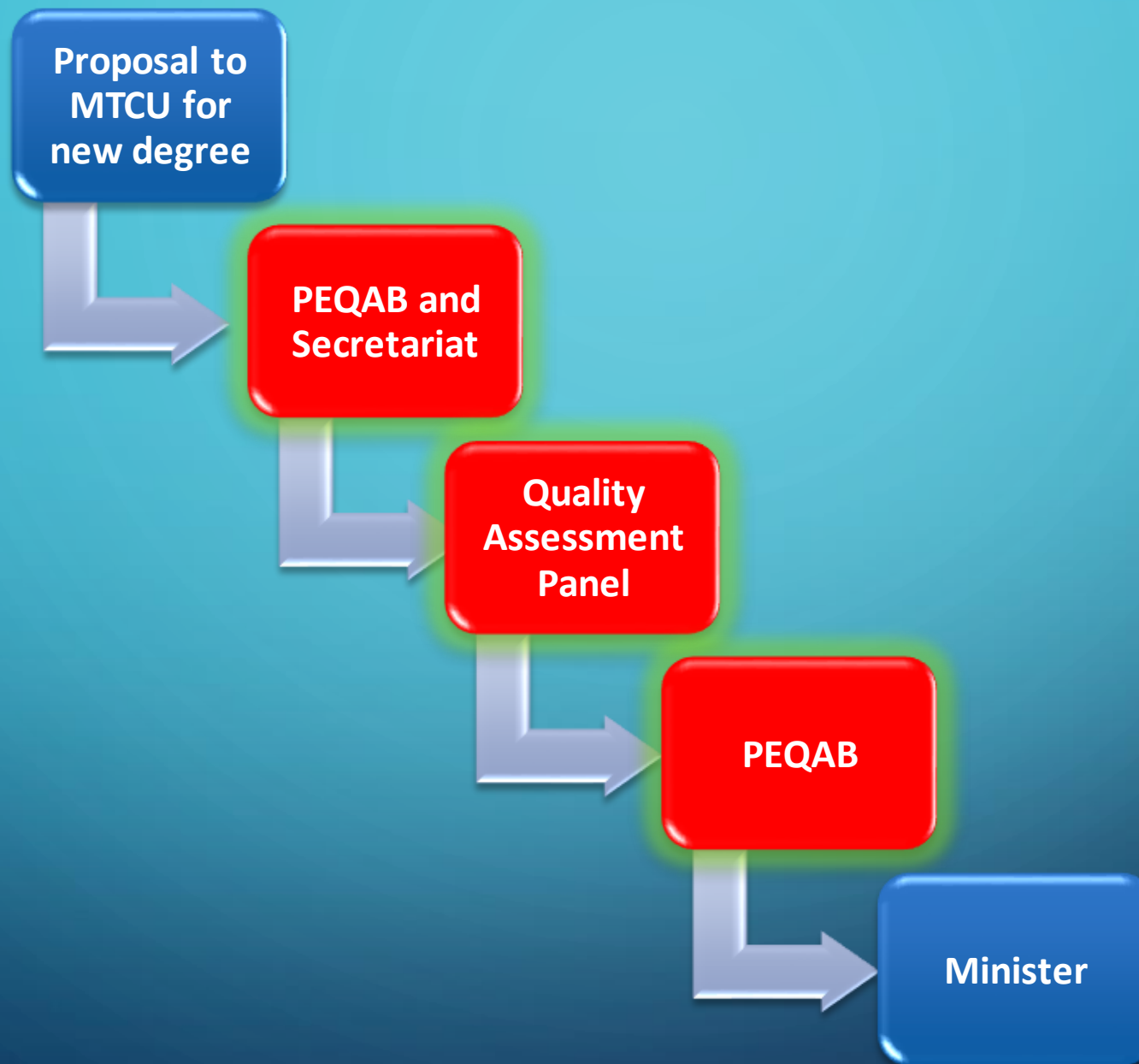
PEQAB

# POSTSECONDARY EDUCATION QUALITY ASSESSMENT BOARD (PEQAB)

- Arms-length, advisory agency for MTCU
- Makes recommendations to the Minister regarding “applications for ministerial consent” and other related matters in accordance with the *Post-secondary Education Choice and Excellence Act, 2000* (PSECE Act) (PEQAB, 2009).
- Scope of authority includes reviewing for quality assurance any type of undergraduate or graduate postsecondary program (public or private) offered by those colleges, universities, and other institutions not otherwise empowered by an act of the provincial legislature to offer a particular degree program in Ontario (J. Brown, personal communications, March 2015).

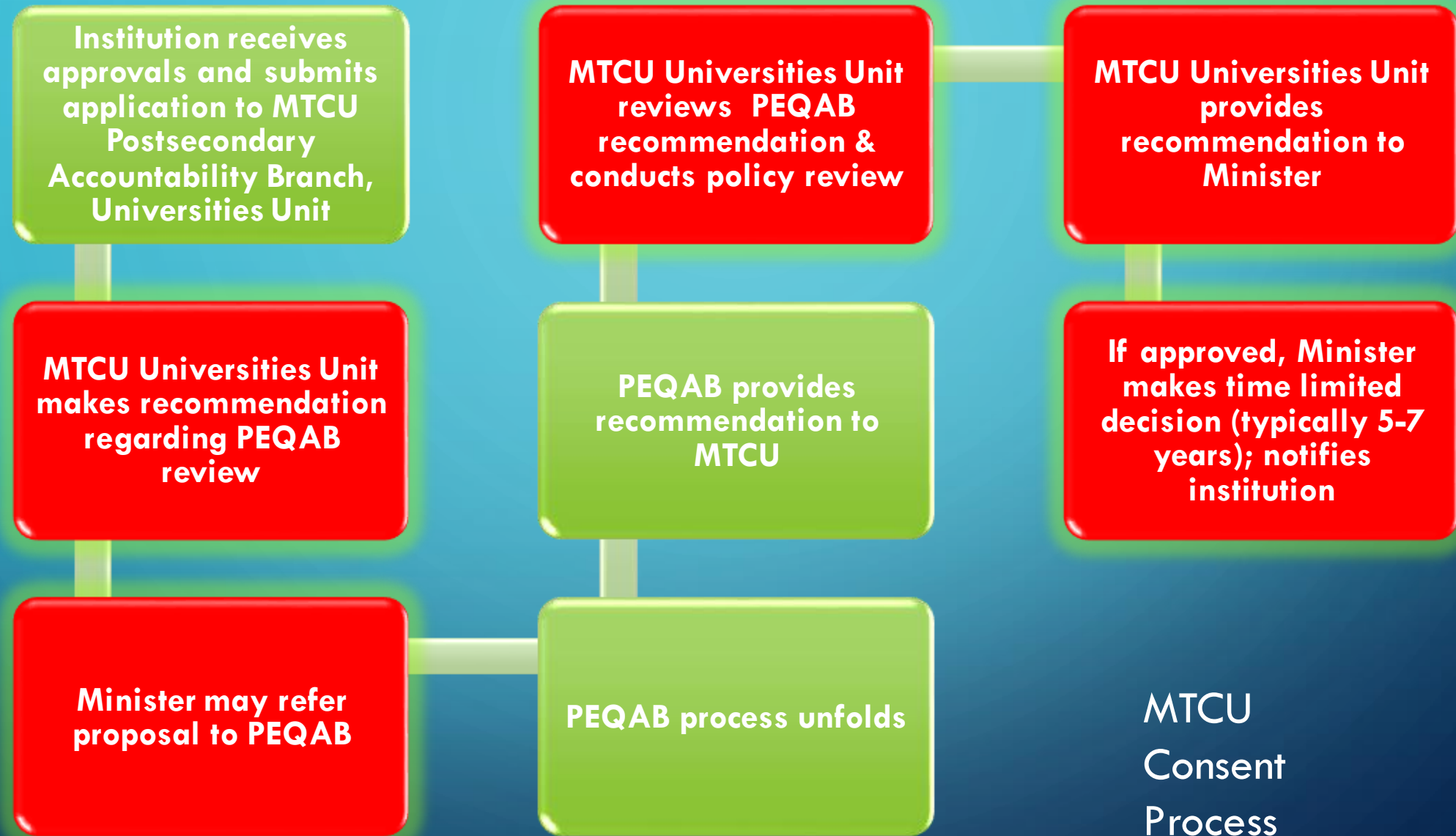
# PEQAB

- Private institutions: two reviews – organizational and program
- 2000: Minister authorized publicly funded Ontario colleges to offer applied bachelor's degrees (MTCU, 2000) – program review for new degree programs and those existing degree programs subject to renewal
- Organizational review: focused on ascertaining an organization's resource and policy framework, financial robustness, and academic capacity, and its attention to the overall care of students
- Minister: may send college proposals for PEQAB review prior to consideration of consent



# PEQAB HANDBOOK FOR ONTARIO COLLEGES (NEW PROGRAMS)

- Review and assessment: program structure; degree level; admission, promotion, and graduation; program content; program delivery; capacity to deliver; credential recognition; regulation and accreditation; nomenclature; program evaluation; academic freedom and integrity; student protection; economic need; non-duplication of programs; adherence to the government's *Ontario Qualifications Framework for Honours Bachelor Degrees*
- *College has 90 days to respond; recommendation to MTCU from PEQAB*



MTCU  
Consent  
Process

# CONESTOGA – CREATING A NEW DEGREE

- Sacha Burrows, Degree Programs and Academic Pathways Consultant,  
Conestoga College Institute of Technology and Advanced Learning  
("Conestoga College")



The background is a blue gradient with abstract white lines resembling circuit traces or data paths in the corners. These lines connect to small circles, some of which are larger than others. The lines are more dense in the top-left and bottom-left corners, and more sparse in the top-right and bottom-right corners.

# UNIVERSITY DEGREES

QUALITY COUNCIL AND MTCU



Mar-Apr – Approval in principle

Apr – “New Program Notice of Intent” to QA Office

Jun – Provostial approval; consultation plan

Sep – “New Program Proposal Brief” / MTCU Approval Form

Oct-Apr – External Review + Report + Refinements

University of  
Windsor  
Example

Apr-Oct –  
Internal  
Approvals  
Nov-Dec –  
Quality Council  
MTCU

# QUALITY COUNCIL

- “[O]versees quality assurance processes for all levels of programs in Ontario’s publicly assisted universities, and helps institutions to improve and enhance their programs...” (2015b).
- In particular, the Quality Council ensures the following: “...*the continuing achievement of a defined level of quality in the design and delivery of an institution’s programs, with particular emphasis on the desired learning outcomes and Ontario’s degree level expectations, as well as on the monitoring of an institution’s compliance with its Institutional Quality Assurance Process (IQAP) in its cyclical program reviews (2015b).*”

**1. INTERNAL  
UNIVERSITY  
PROCESS**

Development of New Proposal Brief



External Review



Internal Response



Institutional Approval



University's Governance Procedures



Appraisal Committee Review and Recommendation



Quality Council Approval to Commence



Ongoing Program Monitoring By the Institution

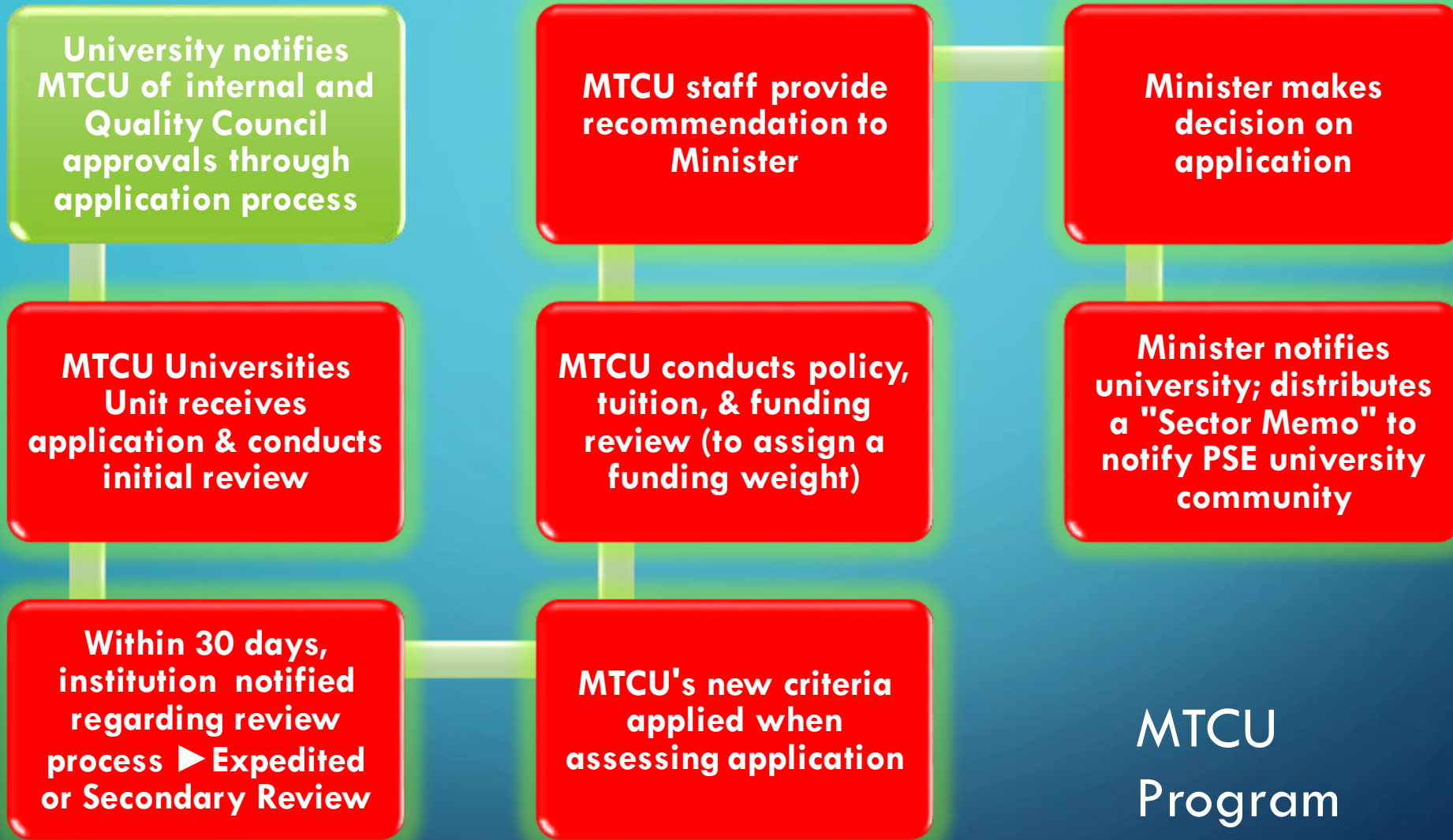


Cyclical Review within 8 Years of First Enrolment

**2. QUALITY COUNCIL  
APPROVAL  
PROCESS**

**3. PROCESS**

Quality Council:  
45 days if  
proposal  
complete and no  
additional  
information  
required



**MTCU  
Program  
Approval**

# MTCU UNIVERSITY PROGRAM APPROVAL SUBMISSION GUIDELINES (PAGE 2)

- *Strategic Mandate Agreement alignment;*
- *Proposed tuition fee (including institutional and sector comparators), funding weight, and FORPOS code;*
- *Costs (including program financing considerations and capital cost implications);*
- *Justifiable duplication;*
- *Societal need and labour market demand;*
- *Student demand;*
- *Enrolment planning and graduate allocations;*
- *Experiential learning; and*
- *Program prioritization/program transformation initiatives.*

# LAURENTIAN UNIVERSITY – SERGE DEMERS, REGISTRAR, SECRETARY OF SENATE



The screenshot displays the Laurentian University website. At the top, the university's logo and name are on the left, a search bar is in the center, and a language toggle for 'Français' is on the right. A dark blue navigation bar contains links for Academics, Admissions, Services, Research & Faculty, About LU (highlighted in yellow), News & Events, Athletics, and Library & Archives. Below this, a breadcrumb trail shows the path: Home > About LU > Policies & Accountability > Academic Accountability. The left sidebar features a list of links under the 'Academic Accountability' header, with 'Academic Accountability' itself being the active page. The main content area has the title 'Academic Accountability' and two paragraphs of text. The first paragraph states that the Institutional Quality Assurance Process (IQAP) is designed to comply with the Quality Assurance Framework adopted by the publicly-assisted universities of the Province of Ontario. The second paragraph explains that the Ontario Council of Academic Vice-Presidents (OCAV) has established the Ontario Universities Council on Quality Assurance (the Quality Council) to assure stakeholders that undergraduate and graduate programs meet high standards of quality. The third paragraph notes that the IQAP derives its authority from the Quality Council and the Academic Senate of Laurentian University.

**Laurentian University**  
Université **Laurentienne**

Search Laurentian.ca

Français

Academics Admissions Services Research & Faculty **About LU** News & Events Athletics Library & Archives

Home > About LU > Policies & Accountability > Academic Accountability

**Academic Accountability**

- Accessibility
- Copyright
- Expenses Directive
- Financial Statements
- Multi-Year Accountability Agreement
- Notice of Collection
- Purchasing Policy
- Prevention and Response to Violence

## Academic Accountability

Laurentian University's **Institutional Quality Assurance Process (IQAP)** is designed to be in compliance with the **Quality Assurance Framework** adopted by the publicly-assisted universities of the Province of Ontario.

The **Ontario Council of Academic Vice-Presidents (OCAV)** has established the **Ontario Universities Council on Quality Assurance** (the Quality Council). The purpose of the Quality Council is to assure the relevant stakeholders—including students, faculty members, administrators, other educational institutions throughout the world, employers, governments and the public at large—that the undergraduate and graduate programs in Ontario universities meet high standards of quality. The Council operates at arm's length from universities and governments, to ensure its independence. Nevertheless, in establishing the Quality Council, OCAV has acknowledged that academic standards, quality assurance and program improvement are, in the first instance, the responsibility of the universities themselves.

This IQAP derives its authority and legitimacy from the Quality Council, and also from the Academic Senate of Laurentian University, the body responsible for academic matters at the University. The authoritative contact between the IQAP and the

The background is a blue gradient with abstract white lines in the corners that resemble circuit traces or a stylized network. These lines connect to small circles, some of which are larger than others. The lines are more prominent in the top-left and bottom-left corners, and less so in the top-right and bottom-right corners.

# OTHER JURISDICTIONS AND EXAMPLES

TYPE OF CREDENTIAL AND COMPLEXITIES EXTEND TIMEFRAMES



# EXAMPLE OF A MULTI-PARTNER DEGREE



# EXAMPLE OF A CREDENTIALING APPROACH

## *Sample Approach*

### **Dual Degrees**

*Simon Fraser University<sup>6</sup> with its international partner offers an interesting approach for dual degree programs – while each institution in the partnership distributes its own parchment, the transcript acknowledges pursuit of a dual degree program, features assigned block credit and notes the year of transfer. No grade is assigned for the duration of the study at the partnering institution. The name of the partner institution is also noted. This model, which had a unique degree of transparency, was not apparent in the responses from any other institution. It represents a good example of how institutions might establish a credentialing standard that supports transparency, academic integrity and joint program sustainability.*

# ADDITIONAL NUANCES

- Breadth and depth of consultation
- Considering the resources needed at every stage – creation, development, refinement, launch
- Apprenticeship
- Unfunded
- Joint programs
- Relying on resident experts

# ADDITIONAL QUESTIONS?

JOANNE DUKLAS, [JDUKLAS@COGECO.CA](mailto:JDUKLAS@COGECO.CA), 905 877 7485

ONCAT.CA (REPORT WILL BE PUBLISHED IN THE RESEARCH SECTION.)